

# DPAM FUNDS DEALING GUIDE FOR DISTRIBUTION PARTNERS AND INSTITUTIONAL CLIENTS |

## January 2024

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### BACKGROUND

#### Purpose

This Guide is to provide key information and assistance for dealing in DPAM investment funds. It only applies to Distribution Partners and Institutional Clients.

#### SICAV in scope

Belgium domiciled SICAV	Luxembourg domiciled SICAV
DPAM B	DPAM L
DPAM DBI-RDT B	

#### Disclaimer

Applications to invest in any fund referred to in this guide can only validly be made based on the Key Information Document (KID), the prospectus and the latest available annual and semi-annual reports. These documents are available on the website [www.dpaminvestments.com](http://www.dpaminvestments.com)

### HOW TO INVEST

#### Available share classes

The units of the sub-funds are issued in the form of shares. The different share classes available for Distribution Partners and Institutional Clients are the following:

Type of Investor	Distribution share classes	Accumulation share classes
Public	A; R; M; S; T	B; B LC, L; N
Public clean	V; O	W
Institutional and Professional Investors	E; S; I	F; F LC; J; P; Z

Please note some share classes also exist denominated in USD or CHF or EUR/USD/CHF Hedged and not all share classes are available per all sub-funds/funds.

All the available share classes are listed on DPAM funds' website: [www.dpaminvestments.com](http://www.dpaminvestments.com)

## Steps to Invest

Before starting business with DPAM, Distribution Partners and Institutional Clients should be in possession of the KID PRIIPS of the concerned class.

KID PRIIPS of all DPAM funds are available on the website [www.dpaminvestments.com](http://www.dpaminvestments.com) (Documentation).

## Minimum Investment

The minimum initial subscription is **1 share for Belgian domiciled sub-funds** and **no minimum initial subscription for Luxembourg domiciled fund** except for:

Shareclass	Belgium domiciled SICAV	Luxembourg domiciled SICAV
L	€1,000 or USD equivalent to €1,000 for USD share classes	€1,000 for classes denominated in EUR \$1,000 for classes denominated in USD
E-F-S	€25,000 or USD equivalent to €25,000 for USD share classes	€25,000 for classes denominated in EUR \$25,000 for classes denominated in USD CHF25,000 for classes denominated in CHF
Z	€25,000,000	€25,000,000

Subsequent subscriptions: no minimum amount.

Information on the minimum investment of any of the DPAM funds is available on the website: [www.dpaminvestments.com](http://www.dpaminvestments.com) (Fees & Codes).

## Entry/Exit Fee

Non-recurring fees and charges (entry/exit fees) borne by the investor as a percentage of the net asset value per share:

### DPAM B

Sub-fund	Entry	Exit
DPAM B Equities Europe Index	0.25%	0.10%
DPAM B Equities EMU Index	0.15%	0.10%
DPAM B Equities US Index	0.10%	0.10%
DPAM B Equities Japan Index	0.10%	0.10%
DPAM B Equities World ex Japan, Europe & USA Index	0.15%	0.15%
DPAM B Equities US ESG Leaders Index	0.10%	0.10%

### DPAM L

Sub-fund	Entry	Exit
DPAM L Bonds EMU Inv. Grade Gov. Index	0.10%	-
DPAM L Equities Emerging Markets ESG Leaders Index DPAM L Equities Emerging MSCI Index	0.25%	0.25%
DPAM L Equities EMU SRI MSCI Index	0.15%	0.10%
DPAM L Equities US SRI MSCI Index	0.10%	0.10%
DPAM L Equities World SRI MSCI Index	0.15%	0.15%

## DPAM B DBI-RDT B

Share classes	Entry	Exit
R	Max 2%	-
S	Max 1%	-
T	Max 2%	-
O	Max 2%	-

Information on the minimum investment of any of the DPAM funds is available on the website: [www.dpaminvestments.com](http://www.dpaminvestments.com) (Fees & Codes).

### Account opening

In order to invest in DPAM Funds, the Distribution Partners/ Institutional Clients can either open an account at Bank Degroof Petercam S.A. or Degroof Petercam Asset Services S.A., either have their orders managed through his network or via one of the funds' distribution platforms.

If the Professional Client chooses to open an institutional custody account with Degroof Petercam, he must submit the necessary documentation and be identified in compliance with the MiFID and anti-money laundering regulations.

The Distribution Partner or Institutional client should contact:

Belgium domiciled SICAV	Luxembourg domiciled SICAV
Bank Degroof Petercam S.A. <i>c/o Account Administration Entities</i> 10, Rue Guimard, 1040 Brussels. Contact: Virginie Woue Tel: +32 26628823 <a href="mailto:accountsadministrationentities@degroofpetercam.com">accountsadministrationentities@degroofpetercam.com</a>	Degroof Petercam Asset Services S.A. <i>c/o Transfer Agent Department</i> 12, Rue Eugène Ruppert, 2453 Luxembourg. Contact : Danièla Di Dodo Tel : +352 453545 2066 <a href="mailto:OPCat@degroofpetercam.lu">OPCat@degroofpetercam.lu</a>

### Order processing

If the account is opened with Degroof Petercam any subscription/redemption order must specify at least the following information:

- Client name;
- Account number;
- Transaction type: subscription, redemption, switch;
- Sub-fund name, including share class and ISIN code;
- Number of shares or cash amount;
- Payment instructions (only if different from standard instruction indicated at account opening);
- Authorized signature.

Subscription/redemption orders can be received as follows:

Bank Degroof Petercam S.A.	Degroof Petercam Asset Services S.A.
SWIFT address: MT 502 <b>Financial services</b> Contact: Nicolas Mivis (+32 2 287 97 39) Contact: Evy Preuveneers (+32 2 287 94 56) Fax: +32 2 233 92 19 <a href="mailto:financial.services@degroofpetercam.com">financial.services@degroofpetercam.com</a>	SWIFT address: MT 502 or MX 20022 equivalent <b>Asset Services Transfer Agent Department</b> Contact: Danièla Di Dodo (+352 4535452066) Contact: Eric Mallarmé (+352 4535452084) Fax: +352 25 07 21 2041 <a href="mailto:Opcat@degroofpetercam.lu">Opcat@degroofpetercam.lu</a>

## Bank details for payment

Please find below the account details for subscription payments:

Beneficiary Account	Correspondent Bank (EUR)	Correspondent Bank (USD)	Correspondent Bank (CHF)
Bank Degroof Petercam S.A.	ING Bank Swift Code: BBRUBEBB Account: BE83301010052315	JP Morgan Chase Bank NA New York Swift code: CHASUS33 Account: 0011061124	Credit Suisse Zürich Swift code: CRESCHZZ80A Account: CH0704835091701883000
Degroof Petercam Asset services S.A.	Banque et Caisse d'Epargne de l'Etat Luxembourg Swift Code: BCEELULL Account: LU170019015006726000	JP Morgan Chase NA New York Swift code: CHASUS33 Account: 0011574464	Crédit Suisse (Switzerland) LTD Swift code: CRESCHZZ80A Account: CH1204835095967623004

Use the reference on the statement as communication in the payment instruction.  
When a subscription order is executed, funds are due on the relevant bank account on the applicable value date.  
In the event of late payment, interest penalties will be charged.  
Please also refer to the funds' prospectus for further information.

## CUT-OFF TIME AND OTHER MODALITIES

### NAV frequency and liquidity

For Belgian and Luxemburg domiciled sub-funds the valuation day is daily (on each full business day in Luxemburg) the NAV is calculated on the full business day following the valuation day except for the following sub-funds

#### DPAM L

**DPAM L Global Target Income** for which the NAV is calculated on the second full Luxemburg business day following that valuation day.

**DPAM L Equities Emerging Markets ESG Leaders Index, DPAM L Equities Emerging MSCI Index, DPAM L Equities World SRI MSCI Index**, if a market (including Shenzhen or Shanghai Hong Kong Stock Connect) representing 10% or more of the size of the sub-fund is closed, **the Valuation Day will be the following Business Day**. The same applies when several markets representing together 10% or more of the size of the sub-fund are closed.

**DPAM L Equities US SRI MSCI Index**, the valuation day is a business day which is also a day on which the relevant financial market(s) for this sub-fund are open.

#### DPAM B

**DPAM B Balanced Growth sub-fund** Net Asset Value is calculated two business days after the valuation day  
**DPAM B Defensive Strategy, DPAM B Balanced Low Strategy, DPAM B Balanced Strategy, DPAM B Active Strategy et DPAM B Global Diversified World sub-funds** Net Asset Value is calculated two business days following the valuation day

**DPAM B Equities EMU Index, DPAM B Equities Europe Index, DPAM B Equities US Index et DPAM B Equities US ESG Leaders Index sub-funds** Net Asset Value is calculated one business day following the valuation day.

**DPAM B Equities World ex Japan, Europe & USA Index and DPAM B Equities Japan Index sub-funds** Net Asset Value is calculated one business day following the valuation day.  
**DPAM B Equities DRAGONS Sustainable sub-fund** Net asset value is calculated one business day following the valuation day.

### CUT-OFF time

Cut-off time	Belgian domiciled sub-funds	Luxembourg domiciled sub-funds
12:00 CET	DPAM B Active Strategy; DPAM B Balanced Low Strategy; DPAM B Balanced Strategy; DPAM B Defensive Strategy; DPAM B Global Diversified World.	<b>On the valuation day:</b> DPAM L Balanced Conservative Sustainable; DPAM L Global Target Income. <b>One Luxembourg business day prior a valuation day:</b> DPAM L Bonds EUR Short Term.
13:30 CET	DPAM B Equities EMU Index; DPAM B Equities Europe Index; DPAM B Equities Japan Index; DPAM B Equities US Index; DPAM B Equities World ex Japan, Europe and US Index; DPAM DBI-RDT B Equities EMU;	<b>On the valuation day:</b> DPAM L Bonds EMU Inv. Grade Gov. Index; DPAM L Equities EMU SRI MSCI Index; DPAM L Equities US SRI MSCI Index. <b>One Luxembourg business day prior a valuation day:</b> DPAM L Equities Emerging Markets ESG Leaders Index; DPAM L Equities Emerging MSCI Index; DPAM L Equities World SRI MSCI Index.

### Settlement cycle

The settlement date both for sub-funds' subscription and redemption is **D+2 (D=valuation day)** with the only exception for the following sub-funds:

Settlement	Belgian domiciled sub-funds	Luxembourg domiciled sub-funds
D+3	DPAM B Equities World ex Japan, Europe and US Index; DPAM B Equities Japan Index; DPAM B Active Strategy; DPAM B Balanced Low Strategy; DPAM B Balanced Strategy; DPAM B Defensive Strategy; DPAM B Global Diversified World.	DPAM L Global Target Income.

Please also refer to the funds' prospectus and information available on the website [www.dpaminvestments.com](http://www.dpaminvestments.com) for further information.

## POST TRANSACTION SERVICES

### Statement

After the order execution, account holders receive a statement containing details of the transaction. This is sent electronically to the recipient.

Trades are confirmed by SWIFT, email or fax following execution.

Statement of holdings are sent by SWIFT MT535 monthly unless specific request.

### Switch Transaction

Investors are allowed to make conversions (switch transactions) from one sub-fund to another sub-fund of the same SICAV or from one share class to another share class of the same sub-fund according to the terms of the prospectus.

### Daily net asset value and performance

The net asset value of all sub-funds are published on the website [www.dpaminvestments.com](http://www.dpaminvestments.com) (Net Asset Value/Performance), and on international providers' websites (Bloomberg, Morningstar, etc.).

Performance does not include commissions or fees charged on subscription or redemptions' units.

### Fees & Costs

For further information on fees and costs please refer to the KID and prospectus available on the website [www.dpaminvestments.com](http://www.dpaminvestments.com).

# DPAM funds non-dealing days | 2024

## Luxembourg domiciled funds

### DPAM L

01/01/2024	29/03/2024	01/04/2024	01/05/2024
09/05/2024	20/05/2024	23/06/2024	15/08/2024
01/11/2024	25/12/2024	26/12/2024	

## Belgium domiciled funds

### DPAM B

### DPAM DBI-RDT B

01/01/2024	29/03/2024	01/04/2024	01/05/2024	09/05/2024
10/05/2024	20/05/2024	15/08/2024	01/11/2024	11/11/2024
25/12/2024	26/12/2024			

## Contact Details



<https://www.dpaminvestments.com>



[/company/dpam](https://www.linkedin.com/company/dpam)



[dpam@degroofpetercam.com](mailto:dpam@degroofpetercam.com)



Blog: <https://shorturl.at/nzJPS>

## Disclaimer

Degroof Petercam Asset Management SA/NV (DPAM) | rue Guimard 18, 1040 Brussels, Belgium | RPM/RPR Brussels | TVA BE 0886 223 276 | For professional investors only.

This is marketing communication. Please refer to the prospectus and the KID of the fund before making any final investment decisions. These documents can be obtained free of charge at DPAM or on the website [www.funds.dpaminvestments.com](http://www.funds.dpaminvestments.com). Investors can find a summary of their investor rights (in English) on [www.funds.dpaminvestments.com/sites/degroofpetercam/regulatory-disclosures.html](http://www.funds.dpaminvestments.com/sites/degroofpetercam/regulatory-disclosures.html).

The management company may decide to terminate the arrangements made for the marketing of this collective investment undertaking in accordance with Article 93a of Directive 2009/65/EC and Article 32a of Directive 2011/61/EU.

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